



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JFW

Inventor Application of:
Dilley et al.

For:
HEAT EXCHANGER

Serial No.: 10/661174

Filed: 9/11/03

) Docket No: 98000003
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) Group Art Unit : 3753
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) Examiner: TBA
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)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Commissioner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §1.98, Applicants hereby notify the U.S. Patent and Trademark Office of the documents listed below and identified on the attached form PTO 1449 for review by the Examiner during the examination of the above referenced application. Copies of the documents are provided for the Examiner's use. Translations of the foreign documents are not available to the applicant and the translated abstract is provided for the Examiner's use.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8
I hereby certify that this correspondence is being deposited with the
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addresses to Assistant Commissioner of Patents, P.O. Box 1450,
Alexandria, VA 22313 on
(Date of Deposit)

by *[Signature]* 4/15/05
Faisal Adnan (Date)



CERTIFICATION UNDER 37 C.F.R. § 1.97(e)

The undersigned hereby certifies:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

In compliance with the concise explanation requirements under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of the corresponding foreign Search Report citing such documents, together with an English-language version (if not already included) of that portion of the Search Report indicating the degree of relevance found by the foreign office.

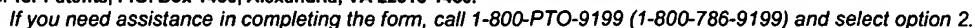
The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of the Form PTO-1449 be returned in accordance with MPEP §609.

Date: 4/15/05
Honeywell International Inc.
Law Department
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Respectfully submitted,

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Attorney for Applicants



Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	2	of	2
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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

to a collection of information unless it contains a valid OMB control number.

Complete if Known

Application Number	10/661174
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Filing Date	9/11/03
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First Named Inventor	Dilley
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Art Unit	3753
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Examiner Name	tbd
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Attorney Docket Number	98000003
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U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ² -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY			
		WO2004036134	5/29/04	Behr		
		WO0198723AI	12/27/01	Serck		
		WO2004017006	2/26/04	Valeo Thermal		

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.